

PATENT APPLICATION

Docket No: 15313.1

#10 / 183  
8-7-03  
R. Stuber

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Hsiang-Lan Lung

Serial No.: 09/882,480

Confirmation No.: 8120

Filed: June 14, 2001

For: HIGH DENSITY SINGLE TRANSISTOR  
FERROELECTRIC NON-VOLATILE MEMORY

Examiner: Marcos D. Pizarro-Crespo

Art Unit  
2811

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97

Mail Stop – IDS Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

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Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each non-English reference, if any, are also enclosed.

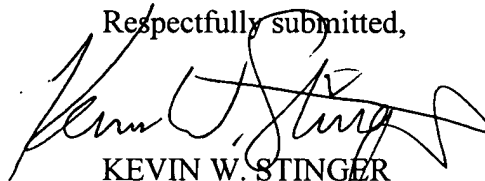
Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

Submission Fee  
Under 37 C.F.R. § 1.97(c)

In accordance with 37 C.F.R. § 1.97(c), a Credit Card Payment Form PTO-2038 in the amount of \$180.00 is enclosed to secure consideration of the references submitted with this Information Disclosure Statement. Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

DATED this 18 day of July, 2003.

Respectfully submitted,

  
KEVIN W. STINGER  
Attorney for Applicant  
Registration No. 48,959



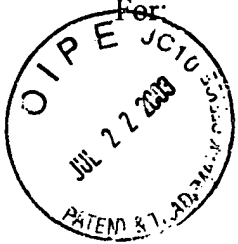
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PATENT TRADEMARK OFFICE

KWS: jac  
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Applicant: Hsiang-Lan Lung  
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#10 IDS  
 7/22/03

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

Examiner Initial*	Document Number	Issue Date	Name
UDP 1	5,559,733	September 24, 1996	McMillan et al.
UDP 2	5,731,608	March 24, 1998	Hsu et al.

Other Documents

(including author, title, pertinent pages, etc.)

Examiner Initial*	Document
MA 3	"Application of $\text{Sr}_2\text{Nb}_2\text{O}_7$ Family Ferroelectric Films for Ferroelectric Memory Field Effect Transistor", Copyright 1998 Publication Board, <i>Japanese Journal of Applied Physics</i> , Vol. 37, pp. 5207-5210.

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References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant:

Hsiang-Lan Lung

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FERROELECTRIC NON-VOLATILE MEMORY



Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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